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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/769,727 | 01/26/2001 | Robert J. Ein | 035887/0106 |

CONFIRMATION NO. 5192

FORMALITIES LETTER



OC00000006094262

Date Mailed: 05/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/19/2001 SMINASS1 00000084 09769727

FILED UNDER 37 CFR 1.53(b)

| | |
|-----------|-----------|
| 01 FC:201 | 355.00 OP |
| 02 FC:203 | 342.00 OP |
| 03 FC:205 | 65.00 OP |

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$342.
 - \$342 for 38 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 762.

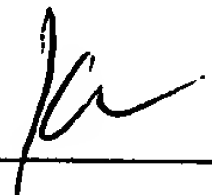
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Serial No. 09/769,727

Atty. Dkt. No. 035887-0106



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert J. EIN

Title: THERAPEUTIC APPARATUS

Appl. No.: 09/769,727

Filing Date: 01/26/2001

Examiner: Unassigned

Art Unit: 3739

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TRANSMITTAL LETTER

Box Missing Parts

Commissioner for Patents
Washington, D. C. 20231

Sir:

Responsive to the Notice to File Missing Parts of NonProvisional Application under 37 CFR 1.53(b) mailed May 18, 2001, attached hereto are the following to complete the formal filing requirements in the above-identified application:

- xx Executed Declaration and Power of Attorney.
- xx Check in the amount of \$762 in payment of the \$355 official government filing fee, the \$65 surcharge, and the \$342 extra claims fee.
- xx Assertion of Small Entity Status signed by or on behalf of each individual, concern or organization having rights in the subject invention.
- xx A copy of the Notice to File Missing Parts of Application (Form PTO-1533) mailed May 18, 2001.
- xx Information Disclosure Statement.
- xx Form PTO 1449 and 24 references.

Serial No. 09/769,727

Atty. Dkt. No. 035887-0106

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0741.

Respectfully submitted,

July 18, 2001

Mary Michelle Kile, Reg. No. 35,217
for George E. Quillin
Reg. No. 32,792

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